

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

CARRIGAN, Lori L.

SERIAL NO

09/489,225

FILED

January 21, 2000

TITLE

HYBRID MAIZE PLANT & SEED 38T27

Grp./A.U.

1638

Conf. No.

5584

Docket No.

P04355US00

Commissioner of Patents and Trademarks

Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant directs the Examiner's attention to the following patents and/or other documents which may be material to the examination of this application. The Examiner is urged to consider these documents and make them of record in this application. This submission is not intended to constitute an admission of any kind, and in particular is not an admission that any patent, or other document referred to herein constitutes a printed publication or is otherwise properly citable as prior art with respect to this application. No representation is made that a search has been conducted or that no more material information than that cited herein exists. Accordingly, this submission is not intended to take the place of the Examiner's own independent search of the art.

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this document and the documents referred to as enclosed therein are being deposited with the U.S. Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Box NEW APP – FEE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, prior to 5:00 p.m. on the 4dday of August, 2003.

Patricia E. Wilson

Express Mail Label # EV 330572455 US

A list of the patents and/or other documents is set forth on the attached Form(s) PTO-SA08B and SB/08B.

A copy of each of these documents or translations or abstract as appropriate, is attached or will be forwarded as soon as it becomes available.

> Respectfully submitted, Lori L. Carrigan

BY

Edmund J. Sease, Reg. No. 24,741 McKEE, VOORHEES & SEASE 801 Grand Avenue, Suite 3200 Des Moines, Iowa 50309-2721 Phone No. (515) 288-3667 Fax No. (515) 288-1338 **CUSTOMER NO: 27142**

- pw -

Attorneys of Record



Form PTO/SA08B (10-01) Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE on Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Complete if Known Substitute for form 1449A/PTO 09/489,225 **Application Number** SUPPLEMENTAL January 21, 2000 INFORMATION DISCLOSURE **Filing Date** First Named Inventor CARRIGAN, Lori L. STATEMENT BY APPLICANT Art Unit 1638 (Use as many sheets as necessary) **Examiner Name** Attorney Docket Number P04355US00 of Sheet

	U.S. PATENT DOCUMENTS				
Examiner Initials *	Cite No.1	<u>Document Number</u> Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,082,992	01/21/1992	Ambrose et al.	
		5,527,986	06/18/1996	Weber	
		5,541,352	07/30/1996	Carrigan et al.	
		5,585,537	12/17/1996	Piper	
		5,639,946	06/17/1997	Piper	
		5,792,915	08/11/1998	Carrigan et al.	
		5,844,116	12/01/1998	Piper	
		5,859,320	01/12/1999	Carrigan	
		6,075,186	06/13/2000	Piper	
		6,121,522	09/19/2000	Desbons	
		6,124,534	09/26/2000	Kramer	
		6,180,856	01/30/2001	Piper	
		6,188,001	02/13/2001	Piper	
		6,225,537	05/01/2001	Piper	
		6,313,381	11/06/2001	Carrigan	

		FOREIGN	I PATENT DOCU	JMENTS		
Examiner Cite No.1 Cou		Foreign Patent Document Country Code ³ -Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т®
						
	T					
	1		, , , , , , , , , , , , , , , , , , , ,			

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

Part Office that issued the document, by the two-letter code (WIPO Standard ST.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated

on the document under WIPO Standard ST. 16 if possible.

And of document under WIPO Standard ST. 16 if possible.

Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Approved for use through 10/31/2002. OMB 0551-031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduced to 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449B/PTO C mplet if Known 09/489,225 **SUPPLEMENTAL Application Number Filing Date** January 21, 2000 INFORMATION DISCLOSURE STATEMENT BY APPLICANT **First Named Inventor** CARRIGAN, Lori L. **Group Art Unit** 1638 (Use as many sheets as necessary) **Examiner Name** Sheet 2 of **Attorney Docket Number** P04355US00

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. 1	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journ				
		Plant Variety Protection Act, Certificate No. 8800212 for Corn 'PHP02' issued 01/31/1989				
	1	Plant Variety Protection Act, Certificate No. 9100092 for Corn 'PHJ89' issued 02/28/1992				
		Plant Variety Protection Act, Certificate No. 9400095 for Corn 'PHTD5' issued 07/29/1994				
		Plant Variety Protection Act, Certificate No. 9400096 for Corn 'PHRD6' issued 07/29/1994				
		Plant Variety Protection Act, Certificate No. 9500205 for Corn 'PHDP0' issued 10/31/1996				
		Plant Variety Protection Act, Certificate No. 9700200 for Corn, Field 'PH0AV' issued 10/27/2000	1			
·		Plant Variety Protection Act, Certificate No. 9700227 for Corn, Field 'PH55C' issued 01/30/2001				
		Plant Variety Protection Act, Certificate No. 9900376 for Corn, Field 'PH1K2' issued 11/06/2001	1			
		Plant Variety Protection Act, Certificate No. 9900432 for Corn, Field 'PH1W0' issued 11/06/2001				
		Plant Variety Protection Act, Certificate No. 200000224 for Corn, Field 'PH50P' issued 01/30/2002				
	-		+			
	+		 			
			1			

Examiner	Date	
Signature	Considered	

^{*} EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.